



PATENT Docket No. 348022000420

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Patricia Ellison

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the application of:

Andrew S. LITTLE et al.

Serial No.: 09/509,591

Filing Date: September 3, 1999

102(e) Date: June 2, 2000

For: ADENOVIRUS VECTORS SPECIFIC

FOR CELLS EXPRESSING ALPHA-FETOPROTEIN AND METHODS OF

USE THEREOF

Examiner: Not Yet Assigned

NOV 0 9 2000

Group Art Unit: 2771

TECH CENTER 1600/2900

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

- 1. In the ATTY DOCKET.NO field, please replace "GRIFFITH" with --348022000420--;
- 2. In the TOT CLAIMS field, please replace "1" with --27-;

RÓY -8 2000 RÓY -8 2000

- 3. In the IND CLAIMS field, please replace "1" with --3--;
- 4. In the Continuing Data as Claimed by Applicant field, after "THIS APPLICATION IS A 371 OF PCT/US98/04084 03/03/1998" please insert
 - --WHICH CLAIMS BENEFIT OF 09/033,428 03/02/1998--.

Support for these corrections can be found in the Preliminary Amendment as filed on September 3, 1999 (Item 1, 2, 3 and 4).

A copy of the marked-up Filing Receipt is attached.

This Request for a Corrected Filing Receipt is being filed to correct a Patent Office error.

No fee is required.

In the unlikely event that the Patent Office determines that a fee is required, applicants petition for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: July 21, 2000

By: for Catherine Pelizi

Catherine M. Polizzi Registration No. 40,130

DUN 4.10

Morrison & Foerster LP 755 Page Mill Road

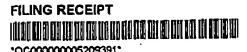
Palo Alto, California 94304-1018

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY,DOCKET.NO	DRAWING5	TOT .CLAIMS	IND CLAIMS
09/509,591	06/02/2000	2771.	1262	GRIFFITH	19	*	1
				•		27	3

CATHERINE M POLIZZI MORRISON & FOERSTER 755 PAGE MILL ROAD PALO ALTO, CA 94304-1018 348022000430

Date Mailed: 06/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

ANDREW S. LITTLE, BALBOA ISLAND, CA; HENRY G. LAMPARSKI, SAN MATEO, CA; DANIEL R. HENDERSON, PALO ALTO, CA; ERIC R. SCHUUR, PALO ALTO, CA;

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Morrison & Foerster LIP Palo Alto

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US98/04084 03/03/1998 WHICH CLAIMS BENEFIT OF 60/039,597 03/03/1997

which claims benefit 09/033,428

Foreign Applications

Which claims benefit of 60/039,

If Required, Foreign Filing License Granted 06/28/2000

ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ALPHA-FETOPROTEIN AND METHODS OF USE THEREOF

Preliminary Class

607

ATTORNEY DOCKET: 348022000420

SERIAL NO.: 09/509,591

FILING DATE: September 3, 1999 102(e) DATE: June 2, 2000

INVENTOR(S): Andrew S. LITTLE at al.

THILE: ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ALPHA-FETOPROTEIN

AND METHODS OF USE THEREOF

Papers enclosed herewith:

Request for a Corrected Filing Receipt - 2 pages

Copy of marked-up Filing Receipt - I page



DATE: July 24, 2000

Any/Secy: CMP3/DJG3/pme2

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To: Marian Day

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We are transmitting a total of 5 pages (including this page). Original or hard copy to follow if this box is checked \Box .

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Comments:

Re: U.S. Patent Application No.: 09/509,591

Dear Marian:

Enclosed are copies of the Request for a Corrected Filing Receipt as filed with the U.S. Patent and Trademark Office on July 24, 2000 for the above-referenced application. I have included a copy of the date stamped return postcard.

Please investigate the status of this Request. You can reach me at (650)-813-5811. Your assistance in this matter is greatly appreciated.

Patricia Ellison

Secretary for Catherine M. Polizzi